



Progress report

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1 General progress of the action

1.1 Scientific progress

The core of the scientific work performed by the ESRs is conducted within WP2 – WP5. These WPs started in month 8 of the project and have hence only been active for 4 months. The ESRs are all in the very early stages of their work and have spent their first months of the project defining the general direction of their research and conducting their initial literature review and studies. During the coming months, the research will be more clearly defined and documented in the Career development plan and research project plan of each ESR. The research project plans will be presented to the consortium during the 2nd training event in May 2017.

In August 2016, shortly after the recruitment of the ESRs, the 1st training event was carried out in Karlstad, Sweden. During the training event, the Privacy&Us ITN provided interdisciplinary and professional training in research related to privacy and usability. Eleven of the twelve recruited ESRs participated on site, and one ESR participated remotely via video conference. The ESRs received a jump start of their educational training, as well as initial thoughts of new career perspectives. They started building on their personal network of early stage researchers from various disciplines as well as networking with supervisors and other senior researchers in the field of privacy and usability. The ESRs have since then created communication channels amongst them, to share information and enable smooth cooperation.

Another scientific task within the project is to organize joint online lectures. The organisation of the first online modules, as well as their format, has been discussed within the consortium. As a result, the modules “Self-Management” and “Introduction to Privacy Enhancing Technologies (PETs)” are being prepared. These online modules will be available in early 2017.

1.2 Deliverables and milestones

Deliverables and milestones during the first year of the project are listed below. There are no outstanding issues to report.

No	Deliverable title	WP	Due	Status	Comments
D8.1	NEC - Requirement No. 2	WP8	31 Dec 15	Done	Delivered 29 Feb 16 *
D8.2	POPD - Requirement No. 3	WP8	31 Dec 15	Done	Delivered 29 Feb 16 *
D8.3	NEC - Requirement No. 1	WP8	31 Dec 15	Done	Delivered 29 Feb 16 *
D8.4	H - Requirement No. 8	WP8	31 Dec 15	Done	Delivered 29 Feb 16 *
D8.5	H - Requirement No. 7	WP8	31 Dec 15	Done	Delivered 29 Feb 16 *
D8.6	H - Requirement No. 6	WP8	31 Dec 15	Done	Delivered 29 Feb 16 *
D8.7	POPD - Requirement No. 5	WP8	31 Dec 15	Done	Delivered 29 Feb 16 *
D8.8	POPD - Requirement No. 4	WP8	31 Dec 15	Done	Delivered 29 Feb 16 *
D1.2	Supervisory Board of PRIVACY&US	WP1	31 Jan 16	Done	Delivered 1 Feb 16
D1.6	Ethics	WP1	31 May 16	Done	Delivered on time
D1.1	Recruitment	WP1	31 Aug 16	Done	Delivered on time
D6.1	Results of the First Training Event	WP6	30 Sep 16	Done	Delivered on time
D7.1	First Public Engagement and Dissemination Report	WP7	30 Nov 16	Done	Delivered on time
D1.3	Progress Report	WP1	31 Dec 16	Done	Delivered on time

*) D8.1 – D8.8 where added by EU after project started.

No	Milestone title	WP		Status	Comment
MS1	Kick-off Meeting	WP1	31 Dec 15	Done	Reached on time
MS2	The Boards of PRIVACY&US	WP1	31 Dec 15	Done	Reached on time
MS3	Quality Plan	WP1	28 Feb 16	Done	An early draft circulated in March. 1 st version in Nov 16.
MS14	Web site	WP7	31 Mar 16	Done	Reached on time

MS15	Dissemination and exploitation	WP7	31 May 16	Done	Integrated in D7.1. 1 st version in Nov 16.
MS4	Recruitment	WP1	31 Jul 16	Done	12 of 13 ESRs recruited.
MS6	Organisation of the 1 st event	WP6	31 Aug 16	Done	Reached on time
MS16	Planned recruitment completed	WP1	30 Nov 16	Done	12 of 13 ESRs recruited.
MS17	All recruited fellows enrolled in PhD programme	WP1	30 Nov 16	Done	Reached on time

1.3 Deviations from original work plan

The first online modules were planned to be available during the first semester (Aug 2016 – Jan 2017) of the ESRs' studies. However, they will only partly be available at the end of the first semester and will be completed by the beginning of the second semester.

The original proposal stated that the work of WP5 will follow the privacy impact assessment (PIA) methodology, and that the core of PIA is the EU Data Protection Directive 95/46/EC. Since the writing of the proposal the legal landscape has changed. The methodology will be based on the data protection impact assessment as now set forth in the General Data Protection Regulation (GDPR) (Regulation (EU) 2016/679) which will replace the Directive 95/46/EC and its national implementations as of May 2018.

2 Recruitment strategy

2.1 Organisation of recruitment process

All full report on the recruitment process is documented in deliverable D1.1 Recruitment, which is available in the Participant Portal. Here we include a summary.

The recruitment process was a joint effort among the beneficiaries with a joint announcement and advertisement of the 13 ESR positions available within the project.

The advertisement of the open positions was made broadly via different channels, both nationally and internationally. The main channels were:

- EURAXESS (international)
- Academicpositions.eu (international)
- The Privacy&Us project website www.privacyus.eu (international)
- Individual beneficiaries' websites (national & international)

The advertisement was also distributed via mailing lists related to the research topics of Privacy&Us, as well as to lists of EU projects related to security and privacy.

Applications for ESRs were to be sent directly to the recruiting beneficiaries that were announcing these ERSs.

The joint advertisement efforts resulted in the following number of applicants:

ESR No.	Recruiting Beneficiary	Total number of applicants	Female	Male
ESR1, ESR2	KAU	62	15	47
ESR3	WU	10	2	8
ESR4	USE	18	5	13
ESR5	GUF	16	3	13
ESR6	ULD	18	5	13
ESR7	UNI	15	8	7
ESR8	TAU	8	1	7

ESR9	TAU	7	2	5
ESR10	UNI	17	8	9
ESR11, ESR12, ESR13	UCL/VDS	54	10	44

While candidates applied directly to the recruiting beneficiaries and the first evaluation of applications was primarily the responsibility of each beneficiary, the selection process was still a joint one, as interviews for joint applications were synchronised, applicants were transferred to other beneficiaries if suitable and on basis of the applicant's consent, and the overall decisions for selecting candidates was made in telcos of the Supervisory Board. The joint selection process enabled the possibility to exchange candidates within the consortium as well as process candidates that applied at more than one place. In the end, two candidates that applied at KAU could successfully be transferred to USECON (ESR4) and UCL (ESR 12).

From the 13 recruited ESRs, 6 were females and 7 males. One of the recruited female applicants however withdrew. This means that of the 12 appointed ESR positions, there are currently 5 (42%) female ESRs and 7 (58%) male. Each partner applied gender and equal opportunity policies at their local organisations for evaluating, selecting and interviewing candidates. Besides, we also tried to transfer highly qualified females that applied to one beneficiary, but were not listed as the number one candidate, to other beneficiaries (and in one case, this transfer was also successful).

2.2 Selected candidates

ESR No.	Name	Gender	Recruiting Beneficiary	Supervisor	Co-supervisor
ESR1	Patrick Murmann	M	KAU	KAU	WU
ESR2	Agnieszka Kitkowska	F	KAU	KAU	TAU
ESR3	Esther Görnemann	F	WU	WU	KAU
ESR4	Poornigha Santhana Kumar	F	USE	UoS	UCL
ESR5	Majid Hatamian	M	GUF	GUF	TAU
ESR6	Alexandr Railean	M	ULD	UBO	KAU
ESR7	Juan Quintero	M	UNI	FAU	WU
ESR8	<i>(The recruited candidate withdrew from Privacy&Us)</i>	<i>(F)</i>	TAU	TAU	KAU
ESR9	Luiza Santiago Rezende	F	TAU	TAU	FAU
ESR10	Lamya Abdullah	F	UNI	FAU	EWT
ESR11	Alexandros Mittos	M	UCL	UCL	UBO
ESR12	Mark Warner	M	UCL	UCL	TAU
ESR13	Andreas Gutmann	M	VDS	UCL	GUF

All selected candidates meet the eligibility criteria. They are, at the time of recruitment, in the first four years of their research careers and have not yet been awarded a doctoral degree. They have not resided or carried out their main activity (work, studies, etc.) in the country of their host organisation for more than 12 months in the 3 years immediately prior to the recruitment.

All required work visa were issued.

2.3 Delays and deviations

The position ESR8 was offered to a candidate, who initially accepted and was about to begin the contract, but had to withdraw due to personal reasons. This means that the Privacy&Us project has currently filled 12 of 13 ESR positions and position ESR8 at TAU is still vacant. The position is currently being advertised again, and the aim is to fill the position shortly.

According to plan, the ESRs should start their positions by 1st August 2016. This was achieved in nine cases. Three ESRs have a later starting date due to earlier obligations:

- ESR4, starting 11th October 2016
- ESR5, starting 5th August 2016

- ESR12, starting 26th September 2016

Note that the dates above do not fully correspond to the dates reported in D1.1 Recruitment as the dates in it were preliminary and changed after D1.1 was delivered 31st August 2016.

3 Career development plan for each recruited researcher

One of the aims of WP6 is to produce guidelines and quality criteria that will enable the efficient monitoring and development of individual career development plans for each of the participating ESRs. As a result an internal deliverable has been produced “Guidelines for the career development plans”. This internal deliverable describes the MSCA ITN Privacy&Us guidelines for career development plans and research project plans. Its main objective is therefore, to serve as an internal guideline for the project participants and ESRs, by supporting them in the development and reporting of personalized research and career development plans; and providing the corresponding criteria and templates to be used for both career development and PhD research proposals.

The individual career development plans and research project plans are under development and will be presented to the consortium during the 2nd Training Event in May 2017. The career development plans and research development plans will be included in the deliverable D6.7 which is due month 18 of the project, 31 May 2017.

All ESRs were registered in doctoral programmes and were assigned supervisors and co-supervisors.

4 Management of the action

4.1 Project management

The project kick-off meeting took place at the Munich Technology Center in Munich, Germany, where Unicon (UNI) is located. The kick-off meeting lasted for three days: December 2 to December 4 2015. All nine beneficiaries and three of the seven partner organisations were represented in the kick-off meeting. The Supervisory Board members were appointed during the kick-off meeting and in August 2016 one of the ESRs was appointed ESR representative to the Supervisory Board.

During the first year of the project Supervisory Board meetings, as well as Management Board meetings, were held regularly, almost once a month. This has enabled a close cooperation within the consortium and contributed to an efficient and successful start of the project.

Supervisory Board meeting	
3 Dec 2015	Kick-off, Munich
1 Feb 2016	Telco
14 March 2016	Telco
18 April 2016	Telco
9 May 2016	Telco
4 July 2016	Telco
26 Aug 2016	1 st Training Event, Karlstad
7 Oct 2016	Telco
25 Nov 2016	Telco

Management Board meeting	
3 Dec 2015	Kick-off, Munich
21 Jan 2016	Telco
29 Feb 2016	Telco
21 March 2016	Telco
18 April 2016	Telco
23 May 2016	Telco
20 June 2016	Telco
16 Aug 2016	Telco
26 Aug 2016	1 st Training Event, Karlstad
5 Sep 2016	Telco
10 Oct 2016	Telco
18 Nov 2016	Telco
14 Dec 2016	Telco

4.2 Risk management

A risk management officer from the Management Board has been assigned, Tom De Wasch (VDS), and a deputy from the Supervisory Board, Delphine Reinhardt (UBO). The risk management officer oversees the risk management and ensures that the system of dealing with issues is inclusive and open.

The critical implementation risks already identified in the GA are:

Risk	Description	Comment
R1	Not being able to attract ESR.	ESR 8 at TAU still vacant.
R2	Delays in the deliverables.	Nothing to report
R3	Conflicts among partners.	There are delays of travel cost reimbursements to partners. This is being addressed by the Management Board.
R4	Conflicts at the ESR level.	Nothing to report
R5	Withdraw of beneficiaries / partners	Nothing to report
R6	Conflicts on the handling of IPR.	Nothing to report

Regarding R1, the vacant position ESR8 at Tel Aviv University (TAU) is currently being re-advertised and recruitment process is ongoing. The aim is to fill the position shortly. TAU is aware that the contribution from EU will be less than 36 months in case the position is filled after month 12 of the project.

5 Communication Activities

All full report on the communication activities is documented in deliverable D7.1 Public Engagement and Dissemination Report, which is available in the Participant Portal. Here we include a summary.

The focus of the dissemination activities during the first year has been on setting up a project website, social media dissemination channels, designing a project logo and information material such as poster and flyer. Besides, we disseminated the project via press releases and conference presentations in order to make it well visible in the privacy and usability research communities and for the purpose of attracting the most suitable candidates for our ESR positions. Another highlight was the 11th IFIP Summer School on Privacy and Identity Management which was co-organised by the Privacy&Us project. It took place in August 2016 in Karlstad, Sweden, and was co-located with the 1st Privacy&Us training event and attracted more than 90 participants.

Communication activity	Description
Project website	https://privacyus.eu
Social media presence	@privacyus_itn, https://twitter.com/privacyus_itn
Press releases	3 press releases issued
General audience publications	3 news articles and 3 blog posts published
Talks & presentations	14 talks and presentations about research related to Privacy&Us, given by project members.
Organised events	1 event organised in cooperation with Privacy&Us (IFIP Summer School 2016), 2 events organised where posters were presented promoting Privacy&Us.

6 Impact of the Action

6.1 Impact on the individual ESR

As mentioned earlier, the 1st training event provided interdisciplinary and professional training in research related to privacy and usability and gave the ESRs a jump start of their educational training,

their thoughts of new career perspectives, and networking. The Privacy&Us ITN thereby already provides a clear added value compared to more traditional PhD studies.

6.2 Impact on the involved institutions

In September 2015 the Wirtschaftsuniversität Wien (WU) Institute for Management Information Systems and the WU Institute for Information Business started a new WU lab that focuses on privacy for sustainable computing (<http://www.privacylab.at/>). Their involvement in the Privacy & Us project is thus very important as it enables them to interact with a wide range of privacy experts on a regular basis and thus strengthens the profile of the lab.

In January 2017 the WU will kick off a new research project entitled "Scalable Policy-aware Linked Data architecture for privacy, transparency and compliance (SPECIAL)", which is a RIA Research and Innovation action funded under the ICT-18-2016 Big data PPP: privacy-preserving big data technologies call. The SPECIAL project will take input from and provide outputs to the Privacy & Us project and vice versa. In addition, participants in both projects will provide additional channels for dissemination.

The 1st Training event held at Karlstad University (KAU) was made open for all other PhD students at KAU and therefore contributed to the PhD education of PhD students at KAU.

7 Summary

Overall the Privacy&Us project has progressed as planned with minor deviations. All results have been delivered in time. Where deviations occurred on the basis of unforeseeable external circumstances, appropriate measures have been taken, e.g. intensified recruitment activity for the position where one of the initially hired ESRs had to withdraw due to personal reasons. The Privacy&Us project already had first dissemination results and impacts on partner institutions.